AF/ \$6AU/1626



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PPLICANTS

ASSMANN ET AL.

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REEXAMINATION OF:

REEXAMINATION OF USP 6,020,354

APR 1 7 2001

SUED

February 1, 2000

TECH CENTER 1600/2900

OR

HALOBENZIMIDAZOLES AND THEIR USE AS MICROBICIDES

ART UNIT

To be assigned

EXAMINER

To be assigned

CERTIFICATE OF MAILING BY EXPRESS MAIL (37 CFR 1.10)

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Date of Deposit:

April 9, 2001

I hereby certify that the following items:

- Request for Reexamination Transmittal Form, in duplicate 1.
- Fee Transmittal, in duplicate 2.
- Request for Ex Parte Reexamination Under 35 USC §§ 302-307 and 3. 37 CFR § 1.510
- Copy of U.S. Patent 6,020,354 4.
- Submission of Prior Art Under 37 CFR 1.501 5.
- Form PTO-1449, in duplicate 6.
- Copies of 13 references 7.

and this Certificate of Mailing by Express Mail are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to: BOX REEXAM, Assistant Commissioner of Patents, Washington, D.C. 20231, on the date indicated above.

NORRIS McLAUGHLIN & MARCUS, P.A.

220 East 42nd Street - 30th Floor New York, New York 10017 (212) 808-0700

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Date

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TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

| 1 | Application Number | 09/243,568 | | | | |
|---|--|----------------------|--|--|--|--|
| | Filing Date | February 3, 1999 | | | | |
| | First Named Inventor | UWE SCHONROCK ET AL | | | | |
| | Group Art Unit | 1626 | | | | |
| | Examiner Name | J. Murray | | | | |
| | Attorney Docket Number | Beiersdorf 545.1-CAO | | | | |
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| otal Number of Pages in This Submi | ssion | Attorney Docket Nun | nber | Beiersdorf 545.1-CAO | | | |
|---|--|---|--------------|---|--|--|--|
| | | (check all that apply) | | | | | |
| | | | | fter Allowance Communication | | | |
| ■ Fee Transmittal Form ■ | Assignment Papers (for an Application) | | to Group | | | | |
| □ Fee Attached | □ Drawing(s) | | □ A | ppeal Communication to Board of Appeals and Interferences | | | |
| Amendment / Response | _ | elated Papers | o A | Appeal Communication to Group Appeal Notice, Brief, Reply Brief) | | | |
| | Petition Rou and Accom | uting Slip(PTO/SB/69) panying Petition | 1 ` | Proprietary Information | | | |
| □ Affidavits/declaration(s) | ☐ To convert | a Application | 1 | Status Letter | | | |
| Extension of Time Request | □ Power of A | ttorney, Revocation | | Additional Enclosure(s) | | | |
| ☐ Express Abandonment Request☐ Information Disclosure Statement | Change of Address | Correspondence | (| please identify below): | | | |
| Certified Copy of Priority Document(s) | │ │ □ Terminal D | isclaimer | | | | | |
| | □ Small Entit | y Statement | | | | | |
| Response to Missing Parts/ Incomplete Application | | r Refund | | | | | |
| □ Response to Missing Parts under 37 CFR | Remarks: RECEIVE | | | | | | |
| 1.52 or 1.53 | ILCEIVE | | | | | | |
| | | | | APR 1 7 2001 | | | |
| | TECH CENTER 1600/29 | | | | | | |
| | | 7 | | | | | |
| CONA | TURE OF ARR | LICANT, ATTORNEY, C | OR AG | EENT | | | |
| SIGNA | 1 / | | | | | | |
| Firm <i>or</i> Individual name | Kurt 6. Briscoe NORRIS McLAUGNLIN & MARCUS, P.A. | | | | | | |
| Signature | Reg. No. 3 | | | | | | |
| Date | April 9, 2001 | | | | | | |
| | CERTIF | CATE OF MAILING | | | | | |

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Kurt \$. Briscoe

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PTO/SB/17 (11-00)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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for FY 2001

ATR 1 3 2001

Signature

Patent fees are subject to annual revision.

\$700.00 TOTAL AMOUNT OF PAYMENT

| Co | mplete if Known | | |
|----------------------|------------------------|---|--|
| Application Number | 09/243,568 | _ | |
| Filing Date | February 3, 1999 | _ | |
| First Named Inventor | UWE SCHONROCK ET AL. | | |
| Examiner Name | J. Murray | | |
| Group Art Unit | 1626 | _ | |
| Attorney Docket No. | Beiersdorf 545.1 - CAO | _ | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | | | | |
|---|------------------------------------|---------------------|----------------------|---------------------|--|-------------------------|------------------|----------|
| The Commissioner is hereby authorized to charge | rized to charge 3. ADDITIONAL FEES | | | | | | | |
| indicated fees and credit any overpayments to: Deposit | Large E Fee | ntity Fee | Small E Fee | Fee | Fee De | escription | 1 | Fee Paid |
| Account Number 14-1263 | Code 105 | (\$) 130 | Code 205 | (\$) 65 | Surcharge - late fil | • | | |
| Deposit Account Norris, McLaughlin & Marcus | 127 | 50 | 227 | | Surcharge - late posheet | | | |
| Name | 139 | 130 | 139 | | Non - English spe | | | |
| Under 37 CFR §§ 1.16 and 1.17 | 147 | 2,520 | 147 | 2,520 | For filing a reques | | | |
| Applicant claims small entity status. See 37 CFR § 1.27 | 112 | 920* | 112 | 920* | Requesting public action | | | |
| 2. Payment Enclosed: | 113 | 1,840* | 113 | 1,840* | Requesting public action | ation of SIR | aner Examiner | |
| Check Credit card Money Other | 115 | 110 | 215 | 55 | Extension for repl | y within first | month | |
| | 116 | 390 | 216 | 195 | Extension for repl | y within sec | ond month | 390.00 |
| FEE CALCULATION | 117 | 890 | 217 | | Extension for repl | | | |
| 1. BASIC FILING FEE Large Entity Small Entity | 118 | 1,390 | 218 | | Extension for repl | | | |
| Fee Fee Fee Fee Description | 128 | 1,890 | 228 | 945 | Extension for repl | y within fifth | month | |
| Code (\$) Code (\$) | 119 | 310 | 219 | | Notice of Appeal | | | 310.00 |
| 101 710 201 355 Utility filing fee 106 320 206 160 Design filing fee | 120 | 310 | 220 | | Filing a brief in su | | appeal | |
| 107 490 207 245 Plant filing fee | 121 | 270 | 221 | 135 | Request for oral t | | | |
| 108 710 208 355 Reissue filing fee | 138 | 1,510 | 138 | 1,510 | | | | |
| 114 150 214 75 Provisional filing fee | 140 | 110 | 240 | | Petition to revive | | | |
| SUBTOTAL (1) | 141 | 1,240 | 241 | | Petition to revive | | nai | |
| a TYPE OF AIM FEEC | 142 | 1,240 | 242 | | Utility issue fee (| or reissue) | | <u> </u> |
| 2. EXTRA CLAIM FEES | | 3 440 | | | | | | |
| Extra Claims below Fee Paid Total Claims 20** = 0 X = 0.00 | 144 | | | | | ·minninn | or | |
| Total Claims | 122 | | | | Petitions to the C Processing fee u | | | |
| Claims Multiple Dependent | 123 | | - | | | | | |
| Large Entity Small Entity | 126 | 5 18 | 0 126 | 180 | Statement | | | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) | 581 | 1 4 | 0 581 | 40 | (times number of | f properties) | | ty |
| 103 18 203 9 Claims in excess of 20 | 146 | 6 71 | 0 246 | | (37 ČFR § 1.12 | 9(a)) | | |
| 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid | 149 | 9 71 | 0 249 | 35 | For each addition | nal invention (9(b)) | n to be examined | |
| to make the state of the state | 179 | 9 71 | 0 279 | 35 | | | nination (RCE) | |
| 109 80 209 40 ** Reissue independent claims over original patent | 16 | - | | | Request for exp | edited exam | ination | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | 1 | | · locacit | 5.A | of a design appli | cation | | |
| | 7 / | Per ree | e (specif | y) | | | | |
| SUBTOTAL (2) \$0.00 Subtotal (3) | | | | | | | \$700.00 | |
| **or number previously paid, if greater; For Reissues, see above | | | | | | | | |
| SUBMITTED BY | | Dooi | etration | No. I | | Complete (| A | 0.0700 |
| Name (Print/Type) KUB/T G. BRISCOE | | (Attori | stration ney/Agen | t) | 33,141 | Telephone | 202-80 | 8-0700 |
| | | | | | | Date | April 9, | 2001 |

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